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QISS

The arrow of time in operational formulations of quantum theory

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Andrea Di Biagio, Pietro Donà, Carlo Rovelli

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Time in Quantum Theory Workshop

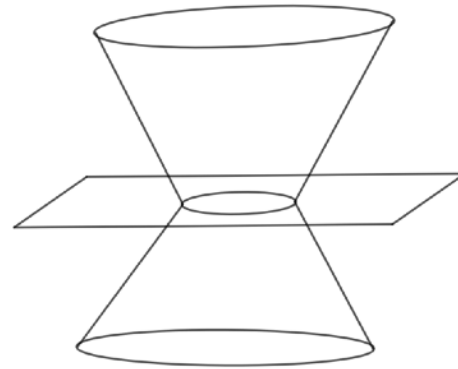
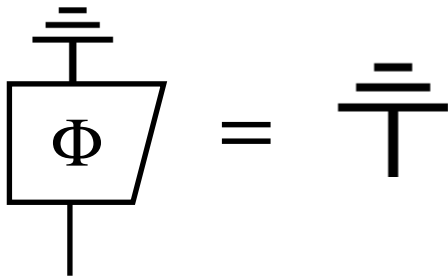


PI PERIMETER
INSTITUTE

R Rotman Institute
of Philosophy

Starting tension

No signalling from the future: An OPT is **causal** if the probabilities of an operation do not depend on the choice of any *later* operation.



Relativistic Causality: A change in the initial data in a region S , does not produce any change in the regions outside the causal *past* and *future* of S .

Starting tension

Does quantum uncertainty imply time orientation?

No.

Then why are certain formulations of quantum theory time-oriented?

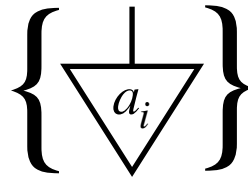
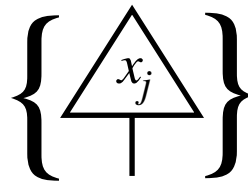
Reconstructions

- Lucien Hardy, “Quantum Theory From Five Reasonable Axioms,” (2001), [arXiv:quant-ph/0101012](#).
- Borivoje Dakic and Časlav Brukner, “Quantum theory and beyond: Is entanglement special?” (2009), [arXiv:0911.0695 \[quant-ph\]](#).
- Lluís Masanes and Markus P. Müller, “A derivation of quantum theory from physical requirements,” *New Journal of Physics* **13**, 063001 (2011).
- G. Chiribella, G. M. D’Ariano, and P. Perinotti, “Informational derivation of Quantum Theory,” *Physical Review A* **84**, 012311 (2011), [arXiv:1011.6451](#).
- Lucien Hardy, “Reconstructing quantum theory,” (2013), [arXiv:1303.1538 \[gr-qc, physics:hep-th, physics:quant-ph\]](#).
- Philipp A. Höhn, “Toolbox for reconstructing quantum theory from rules on information acquisition,” *Quantum* **1**, 38 (2017), [arXiv:1412.8323](#).
- Philipp A. Höhn and Christopher Wever, “Quantum theory from questions,” *Physical Review A* **95**, 012102 (2017), [arXiv:1511.01130](#).
- John H. Selby, Carlo Maria Scandolo, and Bob Coecke, “Reconstructing quantum theory from diagrammatic postulates,” [arXiv:1802.00367 \[quant-ph\]](#) (2018), [arXiv:1802.00367 \[quant-ph\]](#).
- Ding Jia, “Quantum from principles without assuming definite causal structure,” *Physical Review A* **98**, 032112 (2018), [arXiv:1808.00898](#).
- Robert Oeckl, “A local and operational framework for the foundations of physics,” *Advances in Theoretical and Mathematical Physics* **23**, 437–592 (2019), [arXiv:1610.09052](#).

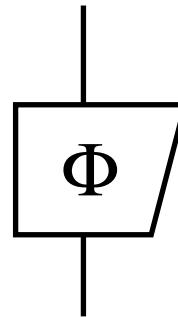
- **Prediction and Postdiction**
 - Closed quantum systems
 - Open Quantum Systems
 - Time-Reversal Symmetry
- **Quantum operations**
 - Review
 - Prediction and Postdiction
 - Arrow of inference, not the arrow of time
- **Final remarks**

Two Games

Measurement



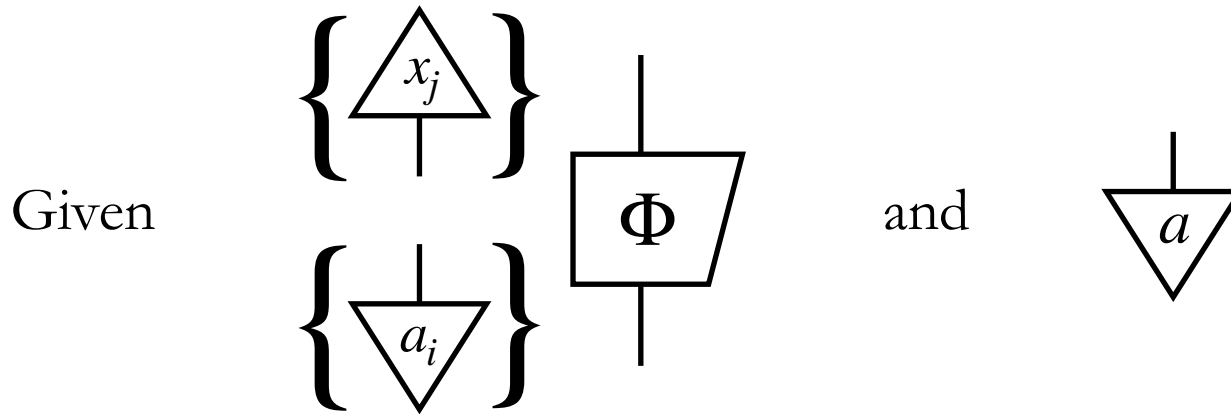
Preparation



Evolution

Prediction vs Postdiction

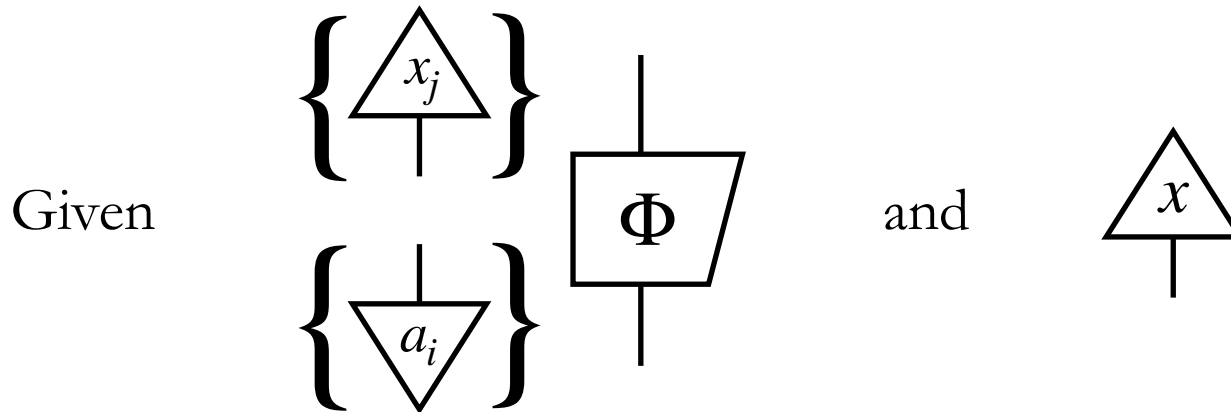
Prediction: Given a preparation, a test and the result of the preparation, calculate the probabilities of the outcomes of the test.



find $P_{pre}(x_j | a, \Phi)$

Prediction vs Postdiction

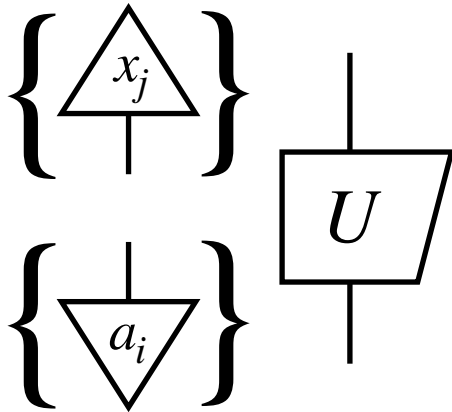
Postdiction: Given a preparation, a test and the result of the *test*, calculate the probabilities of the outcomes of the *preparation*.



find $P_{post}(a_i | x, \Phi)$

Closed Systems

Given



Born rule

$$P_{pre}(x | a, U) = |\langle x | U | a \rangle|^2$$

Bayes' theorem

$$P_{post}(a | x, U) = \frac{P_{pre}(x | a, U)P(a)}{P(x)}$$

What are $P(a)$ and $P(x)$?

Closed Systems

$P(a)$ and $P(x)$ are *a priori* probabilities.

We only know $\{a_i\}$

Prior $P(a) = \frac{1}{d}$

Data $P(x) = \sum_{i=1}^d P_{pre}(x | a_i, U)P(a_i) = \sum_{i=1}^d \left| \langle x | U | a_i \rangle \right|^2 \cdot \frac{1}{d} = \frac{1}{d}$

$$P_{post}(a | x, U) = \frac{P_{pre}(x | a, U)P(a)}{P(x)} = P_{pre}(x | a, U)$$

Closed Systems

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$$P_{post}(a | x, U) = \left| \langle x | U | a \rangle \right|^2 = P_{pre}(x | a, U)$$

Time agnostic probabilities

A process Φ is **inference symmetric** if:

$$P_{pre}(x_j | a_i, \Phi) = P_{post}(a_i | x_j, \Phi)$$

for any choice of bases.

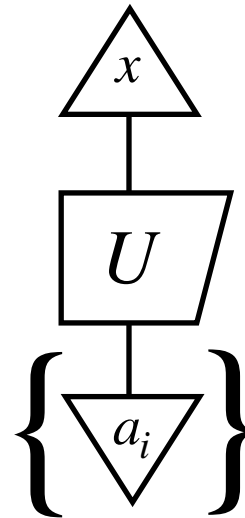
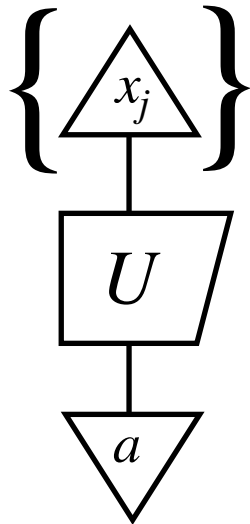
Unitary evolution is inference symmetric \implies time agnostic.

$$P_{pre}(x | a, U) = \begin{array}{c} \triangle x \\ | \\ \square U \\ | \\ \triangle a \end{array} = P_{post}(a | x, U)$$

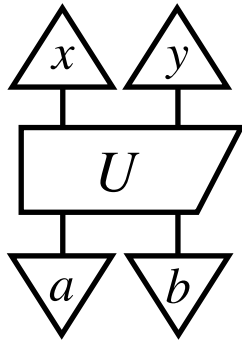
Time agnostic probabilities

Uniform prior is necessary for the above result.

But this is natural!



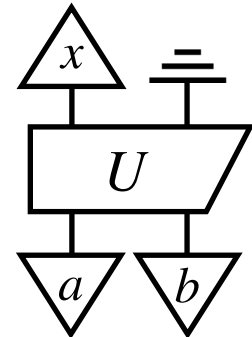
Open Systems



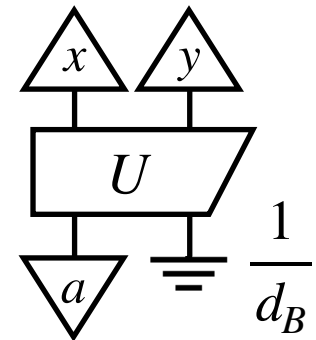
$$A \otimes B \equiv X \otimes Y$$

$$P_{pre}(xy|ab, U) = P_{post}(ab|xy, U)$$

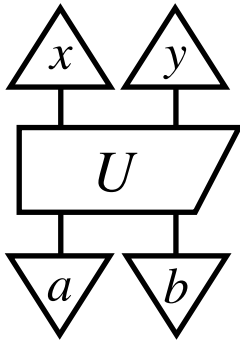
$$\begin{aligned} P_{pre}(x|ab, U) &= \sum_{i=1}^{d_Y} P_{pre}(xy_i|ab, U) \\ &= \text{tr} \left((|x\rangle\langle x| \otimes I_Y) U[|ab\rangle\langle ab|] \right) \end{aligned}$$



$$\begin{aligned} P_{pre}(xy|a, U) &= \frac{1}{d_B} \sum_{i=1}^{d_B} P_{pre}(xy|ab_i, U) \\ &= \text{tr} \left(|xy\rangle\langle xy| U \left[|a\rangle\langle a| \otimes \frac{1}{d_B} I_B \right] \right) \end{aligned}$$



Open Systems



$$A \otimes B \equiv X \otimes Y$$

$$P_{pre}(xy | ab, U) = P_{post}(ab | xy, U)$$

$$P_{post}(ab | x, U) = \frac{1}{d_Y} \sum_{i=1}^{d_Y} P_{post}(ab | xy_i, U) = \frac{1}{d_Y} \sum_{i=1}^{d_Y} P_{pre}(xy_i | ab, U) = \frac{1}{d_Y} P_{pre}(x | ab, U)$$

$$P_{post}(a | xy, U) = \sum_{i=1}^{d_B} P_{post}(ab_i | xy, U) = \sum_{i=1}^{d_B} P_{pre}(xy | ab_i, U) = d_B P_{pre}(xy | a, U)$$

Direction of inference

$$P_{pre}(x | ab, U) = d_Y P_{post}(ab | x, U)$$

$$P_{pre}(xy | a, U) = \frac{1}{d_B} P_{post}(a | xy, U)$$

Prediction and postdiction simply related.

Direction of inference

$$P_{pre}(xy | a, U) = \begin{array}{c} \triangle x \quad \triangle y \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle a \quad \underline{\underline{=}} \\ \frac{1}{d_B} \end{array} \quad \begin{array}{c} \triangle x \quad \triangle y \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle a \quad \underline{\underline{=}} \end{array} = P_{post}(a | xy, U)$$

$$P_{pre}(x | ab, U) = \begin{array}{c} \triangle x \quad \underline{\underline{=}} \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle a \quad \triangle b \end{array} \quad \begin{array}{c} \triangle x \quad \underline{\underline{=}} \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle a \quad \triangle b \end{array} \frac{1}{d_Y} = P_{post}(ab | x, U)$$

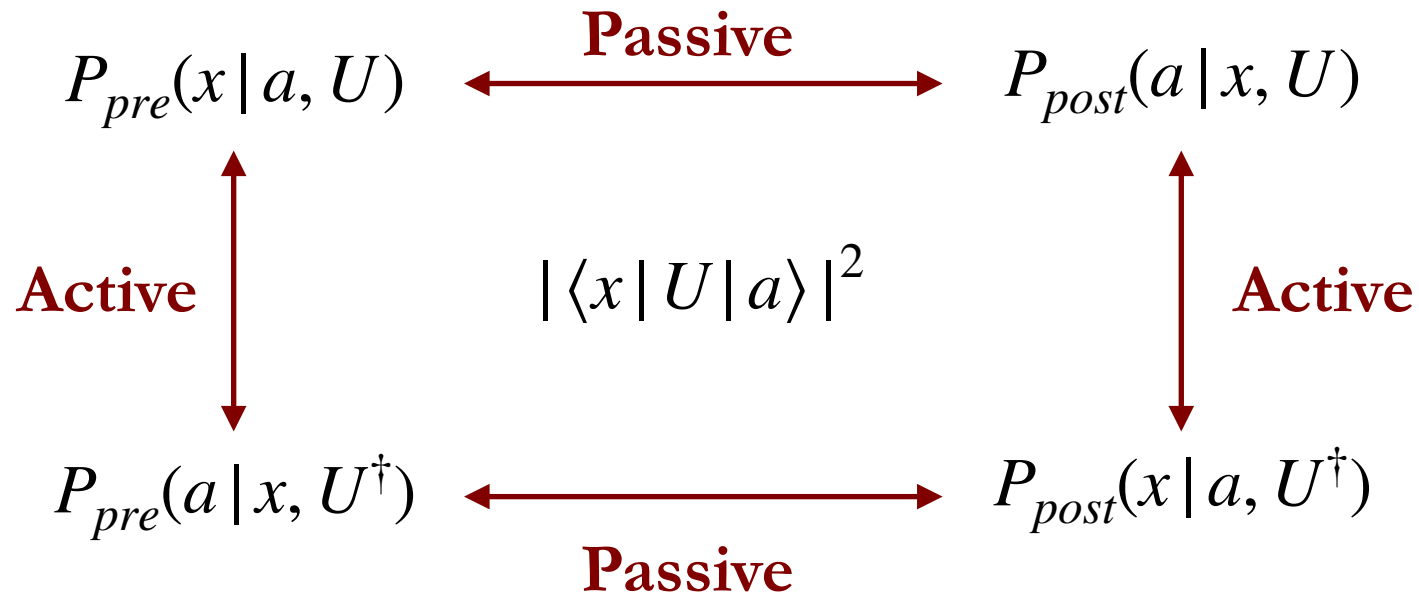
The direction of inference determines the normalisation of the identity.

Passive: Describe physical events in reversed order.

\implies swaps prediction and postdiction

Active: Find a process that undoes the original process.

\implies map to a new pair of games



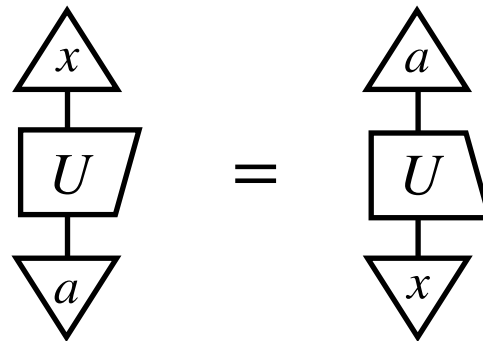
Passive: Describe physical events in reversed order.

\implies swaps prediction and postdiction

Active: Find a process that undoes the original process.

\implies map to a new pair of games

$$P_{pre}(a | x, U^\dagger) = |\langle a | U^\dagger | x \rangle|^2 = |\langle x | U | a \rangle|^2 = P_{pre}(x | a, U)$$



Time-Reversal

$$P_{pre}(xy | a, U) = \begin{array}{c} \triangle x \quad \triangle y \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle a \quad \underline{\underline{=}} \quad \frac{1}{d_B} \end{array} = \begin{array}{c} \triangle a \quad \underline{\underline{=}} \quad \frac{1}{d_B} \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle x \quad \triangle y \end{array} = P_{post}(xy | a, U^\dagger)$$

$$P_{pre}(x | ab, U) = \begin{array}{c} \triangle x \quad \underline{\underline{=}} \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle a \quad \triangle b \end{array} = \begin{array}{c} \triangle a \quad \triangle b \\ | \quad | \\ \text{---} U \text{---} \\ | \quad | \\ \triangle x \quad \underline{\underline{=}} \end{array} = P_{post}(x | ab, U^\dagger)$$

- **Prediction and Postdiction**
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- **Conclusion**

Operations

An **operation** $\mathcal{O}^{X \rightarrow A}$ is a set $\{O_i\}$ of completely positive trace non-increasing maps from linear operators on X to linear operators on A . Satisfying:

$$\text{tr} \sum_i O_i[\rho] = \text{tr} \rho \qquad \sum_i \begin{array}{c} \text{---} \\ \text{---} \\ \square O_i \\ \text{---} \\ \text{---} \end{array} = \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array}$$

When the operation $\mathcal{O}^{X \rightarrow A}$ is applied to a system in state ρ , the event labelled by i happens with probability:

$$P(i|\rho, \mathcal{O}^{X \rightarrow A}) = \text{tr} O_i[\rho] = \begin{array}{c} \text{---} \\ \text{---} \\ \square O_i \\ \text{---} \\ \triangle \rho \end{array}$$

resulting in the new state $\rho_i := \frac{O_i[\rho]}{\text{tr} O_i[\rho]}$

If we don't know the outcome $\mathcal{O}[\rho] = \sum_i O_i[\rho]$ $\begin{array}{c} \text{---} \\ \text{---} \\ \square \mathcal{O} \\ \text{---} \\ \text{---} \end{array} = \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array}$

Operations

Two operations $\mathcal{O}^{X \rightarrow A}$ and $\mathcal{M}^{A \rightarrow B}$ can be composed in **sequentially** with

$$\mathcal{M} \circ \mathcal{O} = \{M_j \circ O_i\}$$

with the probability of the event ij given by

$$M_j[O_i[\rho]] = M_j \left[\frac{O_i[\rho]}{\text{tr } O_i[\rho]} \right] \text{tr } O_i[\rho]$$

$$P(ij | \rho, \mathcal{M} \circ \mathcal{O}) := \text{tr } M_j[O_i[\rho]] = P(j | \rho_i, \mathcal{M})P(i | \rho, \mathcal{O})$$

Two operations $\mathcal{O}^{X \rightarrow A}$ and $\mathcal{M}^{A \rightarrow B}$ can also be composed **in parallel** using the tensor product structure of Hilbert spaces.

\implies Quantum operations form a symmetric monoidal category. Many interesting results follow. Can generalise...

Operations

An operation $\mathcal{P}^{\mathbb{C} \rightarrow A}$ is called a **preparation**. It can be represented by a set of $\{\rho_i\}$ positive-semidefinite hermitian operators on A such that $\sum_i \text{tr } \rho_i = 1$.

An operation $\mathcal{T}^{A \rightarrow \mathbb{C}}$ is called an **effect**. It can be represented by a set $\{\sigma_i\}$ of positive-semidefinite operators on A such that $\sum_i \sigma_i = I$ (a POVM).

An operation with a single outcome is deemed **deterministic**.

A deterministic preparation is called a **state**.

A deterministic operation is called a **channel**.

There is only one deterministic effect: taking the trace, aka the discard

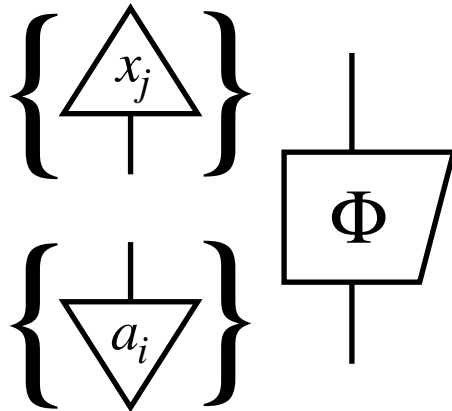
Operations are time-oriented

The state of a system always depends on *past* operations.

One can choose the state of the system *before* an operation, but *not after*.

All probabilities are *prediction* probabilities.

$$\rho \longmapsto \rho_i = \frac{O_i[\rho]}{\text{tr } O_i[\rho]} \qquad P(i | \rho, \mathcal{O}) = \text{tr } O_i[\rho]$$



Generalised Born rule

$$P_{pre}(x | a, \Phi) = \text{tr} |x\rangle\langle x| \Phi[|a\rangle\langle a|]$$

Bayes' theorem

$$P_{post}(a | x, \Phi) = \frac{P_{pre}(x | a, \Phi)P(a)}{P(x)}$$

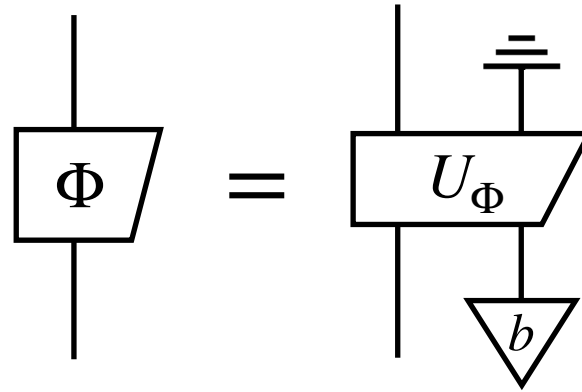
Prior $P(a) = \frac{1}{d_A}$

Data $P(x) = \sum_{i=1}^{d_A} \frac{1}{d_A} P_{pre}(x | a_i, \Phi) = \frac{1}{d_A} \text{tr} |x\rangle\langle x| \Phi[\mathbb{1}_A]$

$$P_{post}(a | x, \Phi) = \frac{\text{tr} |x\rangle\langle x| \Phi[|a\rangle\langle a|]}{\text{tr} |x\rangle\langle x| \Phi[\mathbb{1}_A]} = \frac{P_{pre}(x | a, \Phi)}{\text{tr} |x\rangle\langle x| \Phi[\mathbb{1}_A]}$$

Channels are not inference-symmetric in general.

Stinespring Dilation: Any quantum channel can be understood in terms of a unitary interaction with an ancilla system.



$$\Phi[\rho] = \text{tr}_Y U_\Phi[\rho \otimes |b\rangle\langle b|]$$

This allows us to understand the inference asymmetry of the quantum channels.

$$P_{pre}(x|a, \Phi) = \begin{array}{c} \triangle x \\ | \\ \square \Phi \\ | \\ \triangle a \end{array} = \begin{array}{c} \triangle x \quad \equiv \\ | \quad | \\ \square U_{\Phi} \\ | \quad | \\ \triangle a \quad \triangle b \end{array} = P_{pre}(x|ab, U_{\Phi})$$

$$P_{post}(a|x, \Phi) = P_{post}(a|xb, U_{\Phi})$$

$$P_{post}(a | xb, U_{\Phi}) = \frac{P_{post}(ab | x, U_{\Phi})}{P_{post}(b | x, U_{\Phi})}$$

$$P(a|b) = \frac{P(ab)}{P(b)}$$

$$P_{post}(ab | x, U_{\Phi}) = \frac{1}{d_Y} P_{pre}(x | ab, U_{\Phi})$$

$$P_{post}(b | x, U_{\Phi}) = \frac{d_A}{d_Y} P_{pre}(x | b, U_{\Phi})$$

$$P_{post}(a | xb, U_{\Phi}) = \frac{P_{pre}(x | ab, U_{\Phi})}{d_A P_{pre}(x | b, U_{\Phi})}$$

$$P_{post}(a | xb, U_{\Phi}) = \frac{P_{pre}(x | ab, U_{\Phi})}{d_A P_{pre}(x | b, U_{\Phi})}$$

$$P_{pre}(x | ab, U_{\Phi}) = P_{pre}(x | a, \Phi)$$

$$P_{pre}(x | b, U_{\Phi}) = \sum_{i=1}^{d_A} \frac{1}{d_A} P_{pre}(x | a_i b, U_{\Phi}) = \frac{1}{d_A} \sum_{i=1}^{d_A} P_{pre}(x | a_i, \Phi)$$

$$P_{post}(a | xb, U_{\Phi}) = \frac{P_{pre}(x | ab, U_{\Phi})}{\text{tr} |x\rangle\langle x| \Phi[\mathbb{1}_A]} = P_{post}(a | x, \Phi)$$

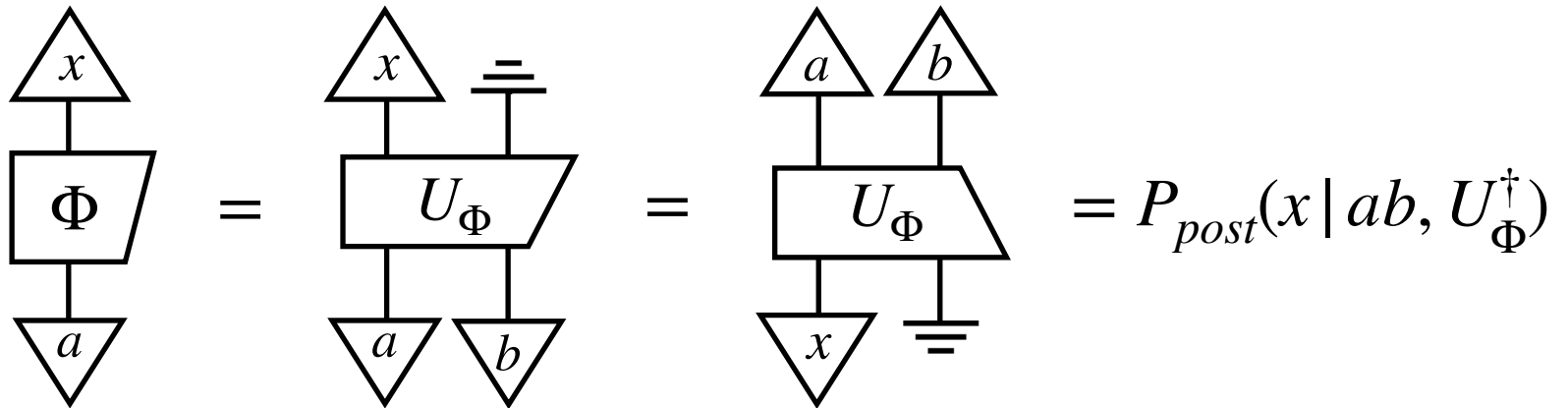
$$P_{post}(a | x, \Phi) = P_{post}(a | xb, U_{\Phi})$$

The inference asymmetry of quantum channels is understood as an asymmetry in the inference data.

The specification of the channel Φ implicitly contains information about the state of an ancilla system B , which is assumed known.

*Similar technique can be applied to mixed-state preparations and POVMs. See paper.

Quantum channels towards the past

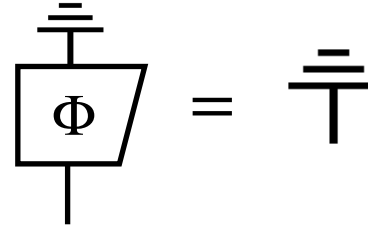
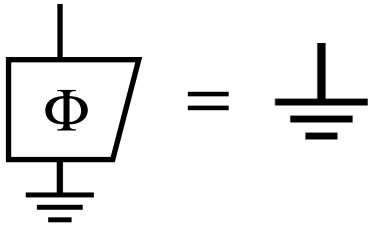


\Rightarrow quantum channels can represent postdiction probabilities

Inference Symmetric Channels

$$P_{post}(a | x, \Phi) = \frac{P_{pre}(x | a, \Phi)}{\text{tr}[x \Phi[\mathbb{I}_A] x]}$$

$$P_{post}(a | x, \Phi) = P_{pre}(x | a, \Phi) \\ \iff \Phi[\mathbb{I}_A] = \mathbb{I}_X$$



Φ is Inference-Symmetric $\iff \Phi$ admits a time reversal

Symmetries of quantum evolutions

Giulio Chiribella, Erik Aurell, and Karol Życzkowski
Phys. Rev. Research **3**, 033028 – Published 6 July 2021

There exists a unique deterministic effect.

The choice of an operation does not affect the probabilities of the outcome of an earlier operation.

There exists a unique deterministic effect.

Mathematically correct: the trace is the only CPTP map to the trivial space.

Physically correct: there is fundamental unpredictability in QM.

But not a difference between past and future: there is fundamental *unpost*dictability in QM.

$$P_{post}(a | x, \Phi) = \frac{\text{tr} |x\rangle\langle x| \Phi[|a\rangle\langle a|]}{\text{tr} |x\rangle\langle x| \Phi[\mathbb{1}_A]}$$

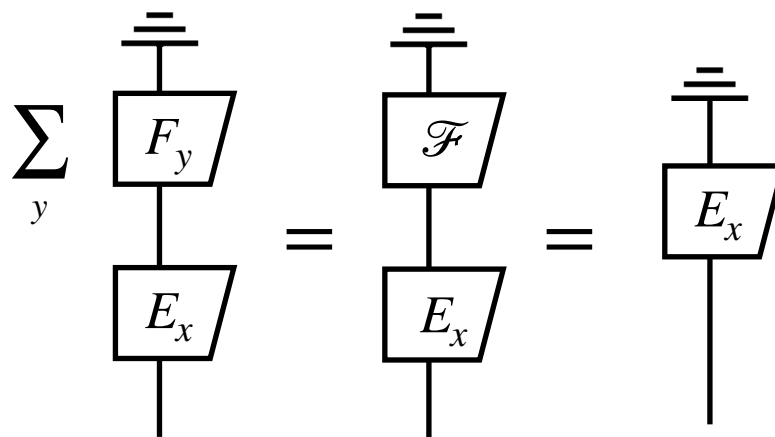
The choice of an operation does not affect the probabilities of the outcome of an earlier operation.

Mathematically correct: a consequence of conservation of probabilities.

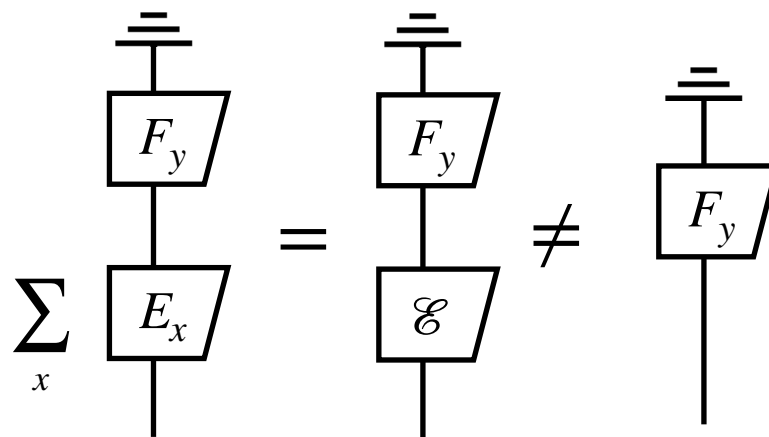
Physically correct: experimentally corroborated.

But not a difference between past and future: difference between known and unknown

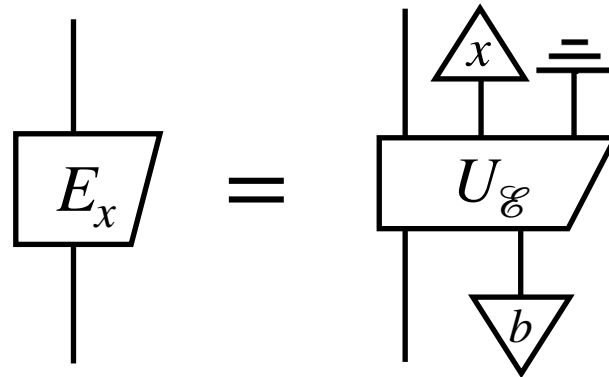
$$P(x|\rho, \mathcal{F} \circ \mathcal{E}) = \sum_y \text{tr} F_y[E_x[\rho]] = \text{tr} E_x[\rho] = P(x|\rho, \mathcal{E})$$



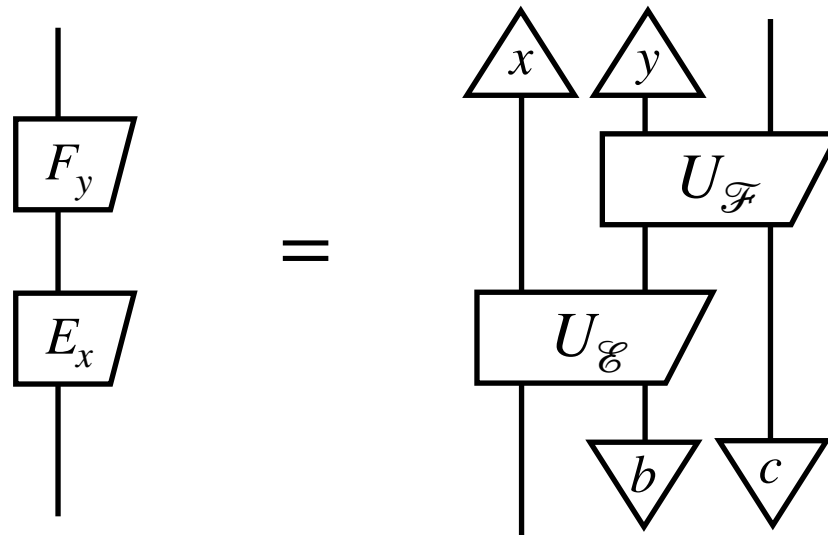
$$P(y|\rho, \mathcal{F} \circ \mathcal{E}) = \sum_x \text{tr} F_y[E_x[\rho]] = \text{tr} F_y[\mathcal{E}[\rho]] \neq \text{tr} F_y[\rho]$$

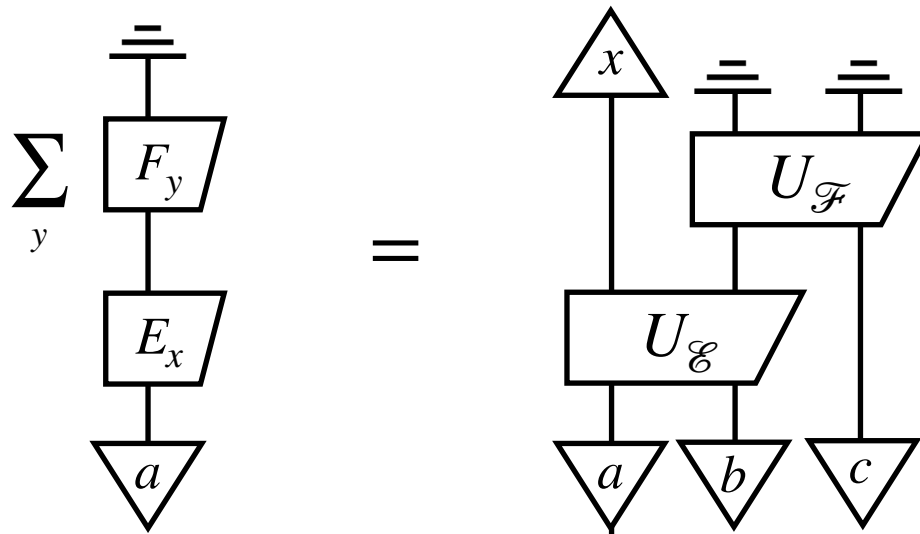


Ozawa dilation: Any quantum operation can be understood in terms of a unitary interaction with an ancilla system, and a projective measurement

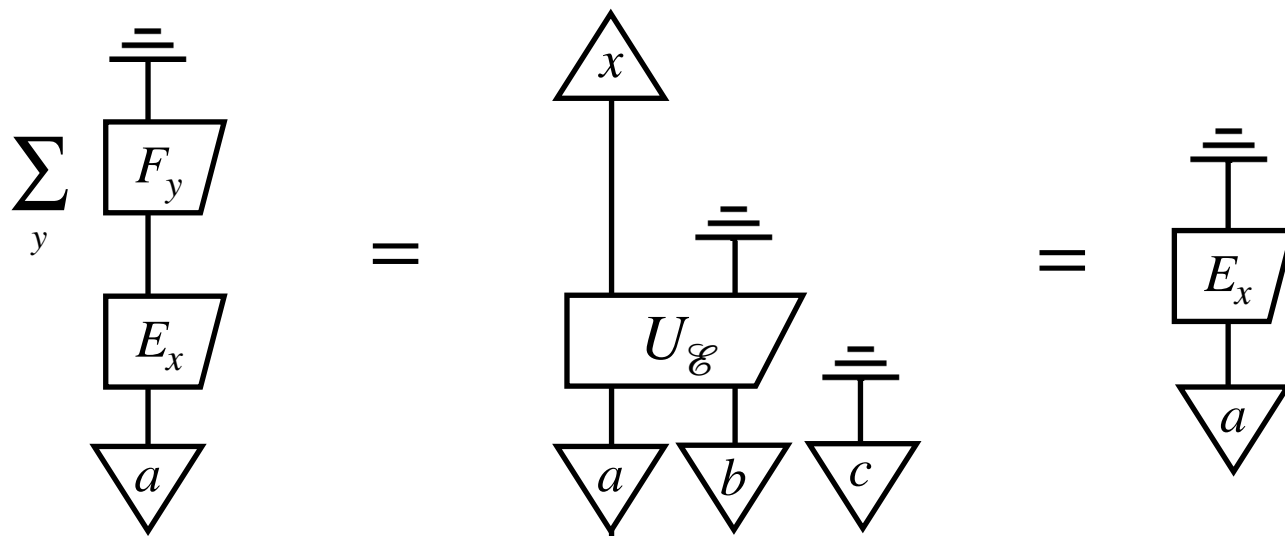


$$E_x[\rho] = \text{tr}_Y \left[(I \otimes |x\rangle\langle x| \otimes I_Y) U_{\mathcal{E}}[\rho \otimes |b\rangle\langle b|] \right]$$

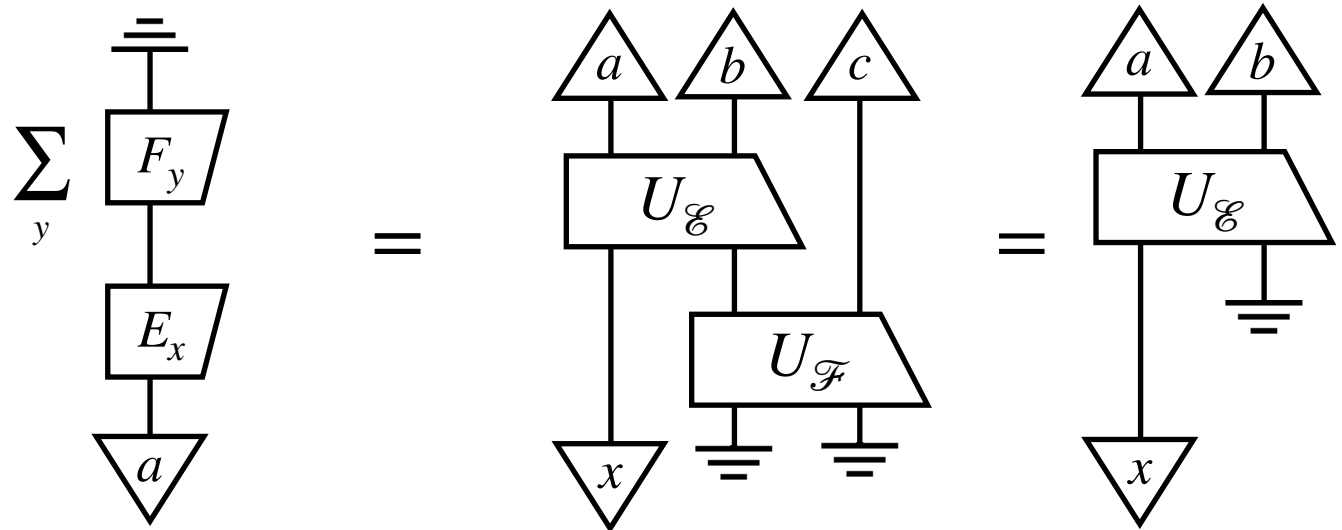




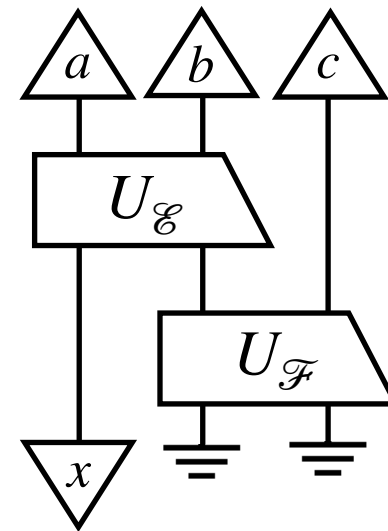
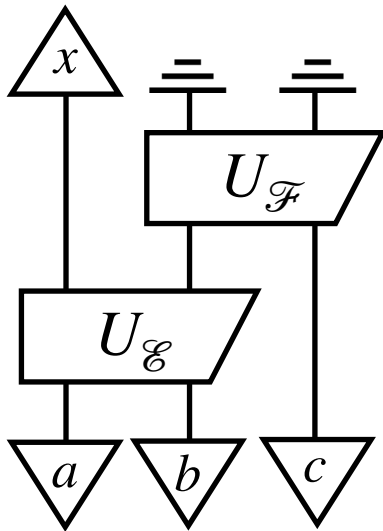
$$P(x|a, \mathcal{F} \circ \mathcal{E}) = P_{pre}(x|abc, U_{\mathcal{F}} \circ U_{\mathcal{E}})$$



$$P(x|a, \mathcal{F} \circ \mathcal{E}) = P_{pre}(x|abc, U_{\mathcal{F}} \circ U_{\mathcal{E}}) = P(x|a, \mathcal{E})$$



$$P(x|a, \mathcal{F} \circ \mathcal{E}) = P_{\text{post}}(x|abc, U_{\mathcal{E}}^{\dagger} \circ U_{\mathcal{F}}^{\dagger}) = P_{\text{post}}(x|ab, U_{\mathcal{E}}^{\dagger})$$



No signalling from the further unknown.

- **Prediction and Postdiction**
 - Closed quantum systems
 - Open Quantum Systems
 - Time-Reversal Symmetry
- **Quantum operations**
 - Review
 - Prediction and Postdiction
 - Arrow of Inference, not the arrow of time
- **Conclusion**

Why the asymmetry?

There are two asymmetric aspects:

- We are interested in prediction
- We consider time-asymmetric inference problems

Both may be understood in terms of thermodynamics:

- We remember the past, and not the future
- We make choices that affect the future, not the past

Price, *Time's arrow & Archimedes' point*, Oxford University Press (1997)

Mlodinow and Brun, *Relation between the psychological and thermodynamic arrows of time*. Phys. Rev. E **89**, (2014)

Rovelli, *Agency in Physics*. arXiv:2007.05300 (2020)

Rovelli, *Memory and entropy*. arXiv:2003.06687 (2020)

Ismael, *How physics makes us free*, Oxford University Press (2016)

Why the asymmetry?

Time-asymmetry due to the users of QM.

QI is about correlations established between agents.

The agent is not explicitly modelled by the theory, but *represented* in the mathematical objects in the theory.

Towards a time symmetric reconstruction

[Submitted on 31 Mar 2021]

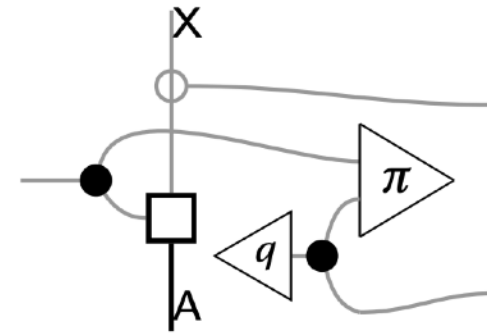
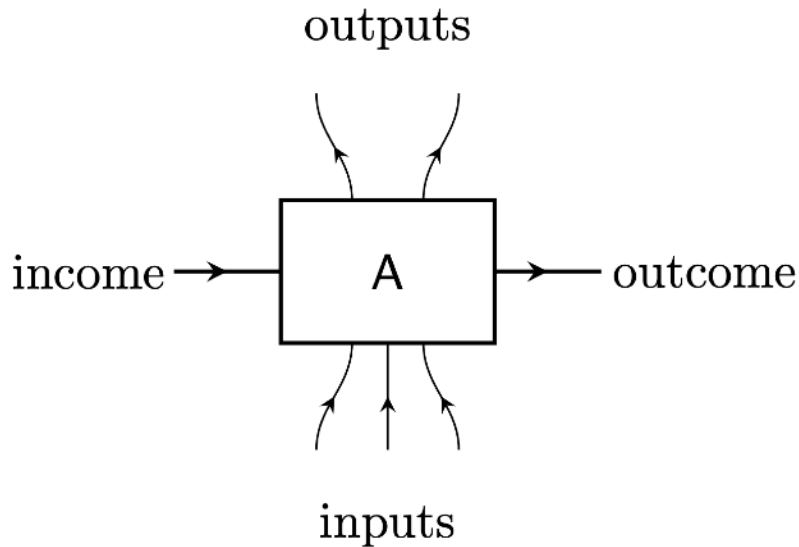
Time Symmetry in Operational Theories

Lucien Hardy [arXiv:2104.00071](https://arxiv.org/abs/2104.00071)

[Submitted on 7 Sep 2020 (v1), last revised 19 May 2021 (this version, v3)]

Unscrambling the omelette of causation and inference: The framework of causal-inferential theories [arXiv:2009.03297](https://arxiv.org/abs/2009.03297)

David Schmid, John H. Selby, Robert W. Spekkens



Today at 15:15!

Thank you

To be continued...

Thank you for listening!